



3.6.1 (a) USER GUIDE eROC SYSTEM Incorporation (Public Interface) Version 1.0

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Revision History

| # | Version No. | Date | Purpose | Author |
|---|-------------|------------|----------------|--------|
| 1 | 1.0 | 29/01/2019 | Draft Document | КРМС |
| | | | | |
| | | | | |

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1. Introduction

This user guide document provides the steps that the public are expected to follow in using the eROC system. The system prompts messages and alerts if the user makes any mistakes in using the system and the users are requested to give due attention to the messages and alerts prompted by the system prior to proceeding to the next step.

All the details of the company used in this guide including the name of the company, names of Directors, Secretary and Shareholder and their respective details are sample data and they have been used exclusively for the purpose of demonstrating the eROC system.

2. Searching Company Names

Public are directed to the below menu upon typing the eROC URL. An existing company can be searched by entering part of the name (using 'Begins with' or 'Contains' option) or entering the name in full. Also, an incorporated company name can be searched by the company registration number. This can be performed by anyone without logging in to the system. Public who wish to search a company name can do so by typing the name of the company in the space provided (Depicted in the figure 1.1)

| - | 1 | | | |
|----|-------------------------------|---|--------------------------------|---|
| ٩ | Name Search | SEARCH A COMPANY NAME ONLINE Ease of Doing Business in Sri Lanka | HI LOOIN FOR OM IN SERVICES | • |
| | Registration of Tenders | Begins with Contains | 5 | |
| Ø | How to Reserve a Company Name | SEARCH FOR COMPANY NAME/ COMPANY NUMBER | 0 | ď |
| B | How to Incorperate a Company | | | - |
| R, | How to Change a Company Name | | | |
| • | Deregistered Companies | | | |
| 56 | Companies act of 2007 | | | |

Figure 1.1

Public can search a name by choosing **one** of the following options;

- Begins with
- Contains

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3. How to 'Register' a New User

In order to register a user, applicant has to click on the **Register** link (Depicted in the Figure 1.2) and enter the details that are requested. Upon entering the details the applicant is requested to enter a valid email address and the password to complete the registration process.

| The Department of Registrar of | Companies Stri Lanka | | LOGIN | × | Home | +) LOGIN |
|---|-------------------------------|--------------------|------------------------|---------|---------------------------------|----------|
| | 176 | E-mail Password | | | | |
| ٩ | Name Search | 1 Badriers | Forgot Passwor | d NLINE | HO LOGIN FOR CHURIE SERVICES | |
| | Registration of Tenders | (| LOGIN | 11 | | |
| 현 | How to Reserve a Company Name | | the sector of the | | 0 | q |
| ۵ | How to Incorperate a Company | | Not a member ? Kepater | | | |
| and the second se | | | - | | | |



Note: The applicant has to enter the **email address** (Applicants are recommended to remember the email address as it is required to enter this email address to reset the password in the event the password is forgotten) and the **password** supplied during the **registration** process to login to the system. The mandatary details that are required in the registration process are denoted by an (*) mark against the relevant item.

| Personal Informatio | an. | | | |
|---------------------|--------------|---------------------|-------|--|
| Title " | O Rev. O Mr. | O Mrs. O Miss. (|) Dr. | |
| First Name* | First Name | Last Name* Last Nam | ne 💦 | |
| Other Name | Other Name | | | |
| NC* | NIC | | | |



Upon submitting the 'Registration' request the system will generate and send a link to the email address specified in the registration process. The applicant is required to open the email and click on the link. Upon clicking the link, the user will be directed to the eROC system and user can commence work in the system. **Note:** This is required for the initial login attempt **only**.

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4. User Login

Public who has created a user login to the eROC system can logon by entering the **email address** provided during user registration and the password after clicking the **Login** button. (Depicted in the figure 1.2)



Figure 1.2

5. Forgot Password

If the applicant does not remember the password to login to the system, applicant has to click the 'Forgot Password' option in the login menu. The applicant has to enter the email address entered at the registration process and click on the 'Request Reset' option. Upon clicking on the 'Request Reset' option a link will be sent by the system to reset the password. The password reset request menu is depicted in the Figure 1.4

| Registrar of Companies Sri Lanka | Home | +0 LOGIN |
|-------------------------------------|--|------------------------------------|
| | FORGOT YOUR PASSCODE ? If you've forgotten your passcode. Enter yo eRoc account email to receive a link allow to reset passcode. admin@gmail.com | |
| Verson (F1.1.7.0 | Statements State of Constants of Constants | © SEC - 2010 / All rights reserved |

Figure 1.4

6. Dashboard

The applicant is provided with a dashboard feature in the eROC system which displays the applications submitted by the applicant together with the status, further, the dashboard displays category wise total of the applications submitted under an applicant's login and the grand total of applications in order to facilitate the applicant to obtain a summarized view.as depicted in the Figure 1.5.

| AT AMALGAMATION | | Name Reservation application | | - | 151995 |
|------------------|---------|---|-------------|---|----------------------------|
| O OTHER SERVICES | | DEG SSS | in Progress | 0 | Name Rejected |
| SETTINGS | 121 | The 1811281061 © Date: 2018-11-28 Time: 11:08 AM Address : | | 0 | Name Canceled 24 |
| | | Incorporated company | Cancel | ۲ | Incorporate Approval 14 |
| | COMPANY | | th Program. | 0 | Incorporate Rejected |
| | - | TOMATO SAUCE (PRIVATE) LIMITED B: 1181101669 © Date: 2018-11-01 Time: 11:07 AM | PV22484 | | - |
| | | Address : 28, GALLE ROAD, COLOMBO 06, Moratuwa | | | Summary |

Figure 1.5

7. Name Reservation

The applicant who wish to reserve a company name is required to click on the 'Name Reservation' option and the system shall request the desired name to be typed as depicted in the Figure 1.6 after making an appropriate selection of the **type** of the company from the drop down.

| The Department of the Registrar of Companies Sri Lanka | 2RoC | | Dashboard | Hi KAMAL |
|---|---|--|--|-------------------------------------|
| Image: DashBoard Image: DashBoard | Contains Begins with PRIVATE LIMITED S Select Select UNIVATE LIMITED PUBLIC LIMITED PUBLIC LIMITED QUARANTEE (UNDER SECTION 34) QUARANTEE (UNDER SECTION 34) OFFSHORE UNIUMITED | SEARCH COMPANY NAME / RESREVE A COMPANY NAME | stied P | 0 |
| Version: F2.0.4 | | | na second | © DRC - 2018 . All rights reserved. |

Figure 1.6

Note: The applicant has to select the desired choice (suffix) from the drop down, once **the type** and the **name** is entered as depicted in the Figure 1.7 (Example: A choice of either PRIVATE LIMITED or (PVT) LTD can be chosen from the drop down for type PRIVATE LIMITED). Also the applicant can search a company by typing the name of the company.



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Figure 1.7

Upon completing the above steps the applicant has to click on the button depicted in the Figure 1.8.

| | | | | | 1 |
|-----------------|---|----------------|--------------|---|---|
| PRIVATE LIMITED | ٥ | SAMPLE COMPANY | - select - 0 | 0 | ۹ |
| | | | - select | | |
| | | | (PVT) LTD | | |

Figure 1, 8

Upon clicking on this search button, the system shall check the name entered and provide a response as to whether it contradicts with any conditions and shall notify the applicant whether the name can be reserved or whether the name is required to be modified as depicted in the Figure 1.9.





Accordingly the applicant is required to make necessary changes to the name entered and click on the search button depicted in Figure 1.8 to make the revised name validated by the system.

Note: The applicants are provided with the option to proceed with the name reservation without making any changes / corrections. However, please note that, if the response provided by the system is ignored and **proceeded** without making required changes to the name there **could be** delays experienced in **obtaining approval** for the name.

The applicant will be directed to the next menu under Name Reservation process upon clicking the 'Proceed Now' button to enter the name in Sinhala and Tamil language as depicted in the Figure 1.10.

| ģ | The Department of the Registrar of Companie Sri Lani | | Dashboard |
|--------------------|--|--------------------|--|
| | Reservation Name | TOYS (PVT) LTD | |
| | Applicant Name | Mr.Prabuddha Namal | Name in Sinhala (Type the name |
| | Sinhala Name | eƏidd | in English and press <i>space</i> bar) |
| | Tamil Name | GL.midt+ | Name in Tamil (Type the name in English and press space bar) |
| | Abbreviations | Abbreviations | The means and the second and the second and the second sec |
| | | | |
| | | | |
| Version : F1.1.7.0 | | | n to the second |

Figure 1.10

Note: The applicant has to type the name (in English) and **press the space bar** in order convert the name to Sinhala and Tamil equivalent under the respective columns.

Upon completing entry of the name in Sinhala and Tamil language, the applicant **must click** in the check box to move to the next menu in the 'Name Reservation' (as depicted in Figure 1.11)

| Click the check box | I authorize an officer from the office of the Registrar of Companies to make the said search on my behalf and I do hear by expressly declare that I absolve the Registrar General of Companies and every officer of his Department from responsibility for any act or omission of the said officer in regard to such search or any information that may be given or omitted to be given by him to me. | |
|---------------------|---|------------------------------------|
| Version: F1.1.7.0 | Registrary of Companying Land | © DRC - 2018. All rights reserved. |

Figure 1.11

Once the applicant completes the above steps and click on the '**Next**' button, the system navigates to the document uploading menu as depicted in the Figure 1.12.

| | The Department of the Registrar of Companies Sri Lanka | Texact the Relatives Water | Dashboard (1) Help | Hi Prabuddha |
|--------------------|--|----------------------------|---|-------------------------------------|
| | Reservation Name | TOYS (PVT) LTD | | |
| | Applicant Name | Mr.Prabuddha Namal | | |
| | Name Reservation | | | |
| | Additional Document | | 🚯 Upload | |
| | | | N | ext |
| | | | | |
| Version : F1.1.7.0 | | | Produption of the Begintrar of Companies of Companies | © DRC - 2018 . All rights reserved. |

Figure 1.12

The applicant is required to upload necessary documents and click on the 'Next' button.

Upon clicking on the 'Next' button in the document uploading menu, the system prompts an alert requesting the applicant's confirmation to proceed to make the online payment as depicted in Figure 1.13.

| The Department of the Registrar of Companies Sri Lanka | | Dashboard ③ Help | Hi Prabuddha |
|--|---|---|-------------------------------------|
| Reservation Name Applicant Name Name Reservation Additional Document | Image: Alternative Alte | Canal Upload | Next |
| Version : F1.1.7.0 | | The department of the Begistrar of Companies Set Larks. | © DRC - 2018 . All rights reserved. |

Figure 1.13

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The Figure 1.14 depicts the online payment menu in the eROC system for Name Reservation. The applicant is required to click on the 'Continue' button if applicant is ready to make the payment.

| The Department of the Registrar of Companies Sri Lanka | Dashboard ③ Help Hi Prabuddha |
|--|--|
| USER PAYMENT AGREEMENT You are having any problems, please email eroc@info.com or contact on 011-2123456. User payment agreement | ORDER SUMMARY Name Price Rs.2,000.00 Tax Rs.300.00 |
| VISA Marco | Total Amount Rs.2,300.00 |
| | Continue |
| | Begistrar of Companies Linear Structure Contraction |

Figure 1.14

Once the 'Continue' button is clicked by the applicant the image depicted in Figure 1.15 will be prompted to the applicant to choose the credit card that applicant wishes to use for this online payment.

Terms and Conditions.

- · Refunds that will be made due to service not delivered/cancelled, will be made to original credit/debit card or device.
- Convenience fee charged for the financial services provided through Lanka Gate initiative is not refundable.
 All the payment related information will be collected and kept with the relevant banks while the rest of the user information that had been collected here is for the use of Lanka Gate.

| Comparing Conversion 2 or Automatic | |
|-------------------------------------|--|
| | |
| Select PG | |
| Olalog eZCash Global Payment | |
| AmEX(NTB IPG) AmeXintBlance | |
| Any Visa/Master Card (Sampath IPG) | |



Note: The applicant must check the 'I ACCEPT' to proceed to the next menu to make the online payment.

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The applicant has to click on the '**PAY NOW**' button shown in the Figure 1.16 to enter the credit card details.

| | Paycorp payment services | |
|------------------------------|-----------------------------|--|
| Description | Amount (LKR) | |
| Amount to be paid : | 1.00 | |
| Convenience Fee : | 0.02 | |
| Total Payment Amount : | 1.02 | |
| Toole F ay litera Possenia - | Cancel Pay Now | |

Figure 1.16

Applicant is required to enter the following details and click on the 'Submit' button to complete the Name Reservation related payment and print the receipt as depicted in the Figure 1.17 and Figure 1.18 respectively.

| Name on Card | Name on Card | |
|----------------|--------------------|--|
| Card Number | Credit Card Number | |
| cvv \varTheta | CVV | |
| Expiry (MM/YY) | Expiry MM/YY | |
| Amount(Rs) | 1.02 | |
| Submit | | |
| | | |

Figure 1.17



Figure 1.18

Note: Applicant must click on the '**Print Receipt'** button prior to clicking on the '**Click, Go to Home'** to view and print the Name Reservation related receipt.

Once the applicant clicks on the '**Click, Go to Home'** button, the 'Name Reservation' process is completed and the eROC system redirects the applicant to his or her dashboard.

8. Name Reservation Re-Submission

Name Reservation applications submitted by applicants will be perused by the officers at ROC and if there are any shortcomings in the applications the officer will request the applicant to re-submit the application after effecting necessary changes / corrections. The Name Reservation application which has been requested to be re-submitted will be displayed in the dashboard of the applicant as depicted in the Figure 1.19.

| The Department of the Registrar of Companies Sri Lanka | Verte de la de West | Dashboard | ⑦ Help Hi Prabuddha |
|--|---|--|---------------------|
| | Image: Name VEHICLE CARE ■ 1811261017 ③ Address :,, | Name Request to R (PRIVATE) LIMITED Date : 2018-11-26 Time : 9-45 AM Cancel Re-Subm | it . |
| | COMPANY DBFD ■ 1180926598 ○ Address : 24, Galle Re | GL225 Date : 2018-09-26 Time : 3:01 PM bad, Colombo 06, Dehiattakandiya | Progress 17 |

Figure 1.19

The applicant can view the details of the application by clicking on the Re-Submit button. Upon clicking the Re-Submit button, the applicant will be permitted to view the comments of the ROC officer on the said application and accordingly effect necessary changes / corrections as depicted in Figure 1.20.

| The Department of the Registrar of Companies Sri Lanka | PROC | | Dashboard | ⑦ Help | Hi Pr | rabuddha |
|--|---|---|-----------|----------|----------|----------|
| | Name Request to Re-Subi 2018-11-26 7:13:03 PM Request to Resubmit because | mit e of the following reason | | | | |
| | Attachments | | | | | |
| | Title Additional Document | Comment doc1 | F | Progress | Actions | File |
| | Other Required Document | doc 2 | | | 🖨 Upload | 1 |
| | | Figure 1.20 | | | | |

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9. Editing the Name During Name Reservation – Re-Submission

The applicants could be requested to change the name by ROC as the name submitted could contradict with the name validation rules. In such an instant applicants could change the name by clicking on top of the name field as depicted in Figure 1.21.





Upon clicking on the 'Search' button, the system shows as to whether the name violates any rules or not. The applicants can effect necessary changes / corrections and click on the 'Proceed Now' button as depicted in the Figure 1.22 to complete editing of the name.



Figure 1.22

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Note: The applicants are allowed to edit the name of the company **only**, the company type and suffix of the name selected at the initial application will not be allowed to be edited in Name Re-Submission as depicted in Figure 1.23.

| The Department of the Registrar o Search Name : | The the b | e compar suffix is n se edited Subm | ny 'Type' and not allowed to during Re- hission |
|---|------------------|--|--|
| | TED • SEARCH COM | IPANY NAME | (PRIVATE) LIMITED • Q. Search |
| R NAME RESERVATION | B: 1901291543 | O Date : 2019-01- | -29 Time : 11.48 AM |
| # REGISTRATION OF AUDITORS | | | |
| REGISTRATION OF SECRETARY | Company Name | | NEW VEHICLE CARE (PRIVATE) LIMITED |
| | Sinhala Name | | Press the space bar after each word |
| 424 REGISTER FOR SOCIETY | | | Sinhala Name |
| REQUEST CERTIFIED COPIES | Tamil Name | | Press the space bar after each word |
| | | | Tamil Name |
| | abbreviations | | Abbreviations |
| ¢ SETTINGS | | | |
| Version #221.0 | | | 🕐 belanar an sur |
| | | | The first the first statements |

Figure 1.23

Any documents that are requested to be re-submitted in the Name Reservation will be shown in the bottom of the Name Reservation –Resubmit menu as depicted in Figure 1.24.

| Title | Comment | | Progress | Actions | File |
|-------------------------|---------|---------------------|----------|----------|---------------------------|
| Additional Document | doc1 | | | 🛧 Upload | |
| Other Required Document | doc 2 | | | 🛧 Upload | |
| | | | | | |
| | | | | | |
| | | | | | ତ <mark>ି Resubmit</mark> |
| | | Figure 1 2 4 | | | |



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Note: If the ROC officer has requested any documents to be submitted, the 'Re-Submit' button will not be enabled till the applicant uploads those documents as depicted in Figure 1.25.

| The Department of the Registrar of Companies Sri Lanka | 2RoC | | Dashboard | d ⑦ Help | Hi Pra | buddha |
|---|-------------------------|--------|---------------------------|---|--------------|-----------------------------|
| | Attachments | | Documents | | | |
| | Title | Commen | requested | Progress | Actions | File |
| | Additional Document | doc1 | | | C Upload | |
| | Other Required Document | doc 2 | Till d upload butte | ocuments are ded 'Re-Submit' | ▲ Upload | |
| | | | | | | M Resubmit |
| Version : F1.1.7.0 | | | | Beginter el Companie Beginter el Companie Briad | e CRC e DRC- | 2018 . All rights reserved. |

The upload should be a PDF file.

Figure 1.25

The figure 1.26 below depicts a Name Reservation – Re-submission application duly completed and about to be Re-Submitted.



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The figure 1.27 below depicts a **successfully** Re-Submitted Name Reservation application after **editing the name as 'NEW VEHICLE CARE (PRIVATE) LIMITED.**

| Sub | me edited in the Re- | Submitted | Re-Submit update Success |
|-----|---------------------------|--|--------------------------|
| R | DASHBOARD Status : | All Q. Search | 📚 1521 |
| R | NAME RESERVATION | | n Frogress |
| 0 | | NEW VEHICLE CARE (PRIVATE) LIMITED Its 1611261017 © Date: 2018-11-26 Time: 9-45 AM Address : | in-Pragra |
| 45 | REGISTRATION OF SECRETARY | 🗇 Can | cel YE Re-Sabr |
| - | REGISTER FOR SOCIETY | | Name Approv |
| 4 | AMALGAMATION | | 1519 |
| 9 | OTHER SERVICES | Company Name | Carcoled. Name Reject |
| • | SETTINGS | Bt 1181110618 ③ Date : 2018-11-10 Time : 9:52 AM | the first sector |

Figure 1.27

10. Cancelling a Company Name After obtaining Approval (Prior to Incorporation)

Applicants are provided with the option of cancelling a company name after obtaining approval from ROC, at the discretion of the applicant. The applicant has to choose the name that is to be cancelled from the dashboard and click on the 'Cancel' button as depicted in figure 1.28.

| The Department of the Registrar of Companies Sri Lanka | PROC | Dashboard ⑦ Help Hi Prabuddha |
|--|-------------|--|
| | NAME | OLD SILVER SPOON (PRIVATE) LIMITED Name Expire Date : 2019-02-19 Time : 11:34 AM Ib 1181121890 |
| | NAME | Name Request to Re-Submit TEST TESAA (PVT) LTD Ib 1181119674 ③ Date: 2018-11-19 Time: 4.03 PM Address:,, Cancel Cancel |

Figure 1.28

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11. Incorporating a Company after Obtaining Approval for the Name

Upon obtaining approval for the company name the applicant's dashboard will enable the 'Incorporate' option as depicted in the figure 1.29.

| The Department of the Registrar of Companies Sri Lanka | 2RoC | Dashboard | ⑦ Help | Galler Hi | Prabuddha |
|--|----------------------|-------------------------------------|------------|------------------|--------------------|
| DASHBOARD | Status : All | Q. Search | -> | Name Approved | All 152150 |
| | SINGING FISH | (PRIVATE) LIMITED | Approved | 94 (r) | In-Progress |
| REGISTRATION OF AUDITORS | Name Expire Date : 2 | 2019-03-05 | | N | 89 |
| REGISTRATION OF SECRETARY | Address : , , | O Date : 2018-12-05 Time : 11:29 AM | | £Ξ | Re-Submit 3 |
| REGISTER FOR SOCIETY | | Cancel Incorperation | ite | • | Name Approval |
| AMALGAMATION | 'Incorporate | | | × | 151997 |
| OTHER SERVICES | option enabled | 5 | n-Progress | 0 | Name Rejected 1 |
| SETTINGS | INTERNAL PRI | I (PVT) LTD | | 0 | Name Canceled |

Figure 1.29

The applicant is required to click on the 'Incorporate' option to commence the company incorporation application in the eROC system.

Applicants have to enter the address (Address Line 1 and 2) of the company and applicants are required to select the relevant Province, District, City and GN Division from the dropdown as depicted in figure 1.30.

| The Department of the Department of the Department of C | e ompanies Sri Lanka | C | Dashboard | ⑦ Help | | Hi Prabuddha | |
|---|----------------------------|----------------------------------|-----------|--------------------------------------|------|--------------|--|
| Name In | English | SINGING FISH (PVT) LTD | | | | | |
| Name In | Sinhala | සින්ගින්ග් fish (පිට්ටි) එල්ටිඩ් | | | | | |
| Name In | Tamil | சிங்கிங் fish (பிவிடி) எல்டிடி | | | | | |
| Comp | oany Address | | | | | | |
| Addres | ss Line 1 * | 24, GALLE ROAD | | | | | |
| Addres | ss Line 2 | COLOMBO | | | | | |
| Provin | ce * | Western Province | | ٣ | | | |
| Distric | t* | Colombo | | ٣ | | | |
| City * | | Ratmalana | | T | | | |
| GN Div | rision * | Attidiya North | | T | | | |
| Version : F1.1.7.1 | | | | Registrar of Companies ~ SriLanka | 2RoC | | |
| | | | | | | | |

Figure 1.30

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Upon filling the address details the applicants are required to enter the email address. The applicants have to enter the email address and create the objective of the company by clicking on the 'CREATE NEW' option as depicted in figure 1.31.

| Address Line 2 | COLOMBO | |
|------------------------|-----------------------|---|
| Province * | Western Province | |
| District * | Colombo | |
| City * | Ratmalana | |
| GN Division * | Attidiya North | |
| | | J |
| Email of the Company * | singingfish@gmail.com |] |
| COMPANY OBJECTIVES | + CREATE NEW | |
| | NEXT ► | |

Figure 1.31

System will prompt the creation of objectives window as depicted in figure 1.32 and applicants have to select the suitable objective from the dropdown and click on the 'ADD' option to complete setting of objectives.

| ment of the ar of Companic | | Deskbard | X | | |
|-------------------------------|---------------------------|--|--------------------|--|--|
| Sri Lan Address Line 2 | NEW COMPANY OB | JECTIVE | | | |
| | Select Main Objective * | Mining and Quarrying | × • | | |
| | Select Sub Objective 1 | Other mining and quarrying | × 👻 | | |
| | Select Sub bjective 2 | Quarrying of stone, sand and clay | × 👻 | | |
| | Select Sub Objective 3 | | × 👻 | | |
| | Select Sub Objective 4 | | X 👻 | | |
| Email of the Compan | NOTE: If you select "do n | not want to disclose", you will not entitle for any sector benef | îts | | |
| | | • | ADD | | |
| | | | | | |
| | | | Registrar of Compa | | |

Figure 1.32

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Note: At least the main objective has to be selected from the dropdown to proceed to the next menu ('Next' option **will not** be enabled till the objectives are selected).

Once the 'ADD' option is clicked the system will display the objectives selected for the applicant to view the objectives on screen as depicted in figure 1.33.

| Regis | ertment of the Etrar of Companies Sri Lanka | <u>C</u> | Dashboard | () Help | Hi Prabuddha |
|--------------------|---|--|-----------|-----------------------------|-------------------------------------|
| | COMPANY OBJECTIVES | | | + CREATE NEW | Cancel option |
| | Select Main Objective 1 * | Mining and Quarrying | | X v | |
| | Select Sub Objective 1 | Other mining and quarrying | | X v | |
| | Select Sub Objective 2 | Quarrying of stone, sand and clay | | × v | |
| | Select Sub Objective 3 | | | × * | |
| | Sub Objective 4 | | | × × | |
| | NOTE: If you select "do not want | to disclose", you will not entitle for any sector benefits | | | |
| | | | | NEXT 🍽 | |
| Version : F1.1.7.1 | | | | Registrar el Companies CRCC | © DRC - 2018 . All rights reserved. |

Figure 1.33

Note:

Applicants can click on the cross option highlighted in red in figure 1.33 to cancel and create new set of objectives, if the created objectives are incorrect.

Upon clicking the next option the applicants are directed to creation of stakeholders option as depicted in figure 1.34.

| The Departm | nent of the ar of Companies Sri Lanka | PRoC | | | | Dashboard | ⑦ Help | | Hi Prabuddha | |
|--------------------|--|--------------------|-------------------------|-------------------------|----------------|---------------------------------|-----------|--------------|------------------------------|----------|
| | Company Details Stal | keholders Do Do | ownload Upl ocuments | load Documents | Inland Revenue | Labour Department (optional) | Payments | | | |
| | DIRECTORS |) | No | Directors added | | (| + ADD NEW | | | |
| | SECRETARIES |) | No Secretaries/S | Secretary - Legal Perso | on added | (| + ADD NEW | | | |
| Version : F1.1.7.1 | SHAREHOLDERS |) | | | | | + ADD NEW | P ROC | © DRC - 2018 . All rights re | eserved. |

Figure 1.34

The stakeholder creation option provides three subsections to create Directors, Secretary and Shareholders under the respective subsections. Applicants can commence creation by clicking on the 'ADD NEW' option.

Note:

If a stakeholder functions in multiple designations (Example: Mr. Shamil Ranatunga has been designated as Director cum Secretary, then the applicant has to simply click on the check box displayed as depicted in figure 1.35 to make Mr. Shamil Ranatunga as Director cum Secretary for the company).

Applicants will not be permitted to add/remove Directors or Secretaries during re-submission. Thus, applicants are recommended to peruse the initial application carefully prior to submission.

27

| | | | Add New Director | | | |
|-------------------------------|---------------|------------------|------------------------------------|-----------|---------------|---|
| | | Please fill a | I required fields denoted by aster | ik(*) | | |
| | NIC No | | | | | |
| | 802066648v | | | | | |
| | | | CONTINUE | | | |
| | | | | | | |
| le * | First Name(s) | | Si | imame * | | |
| Mr. | SHAMIL | | | RANATUNGA | | |
| | | | | | | |
| Local Address | | District | | city * | | |
| Western Province | | Colombo | | Kaduwela | | |
| Address 1 | | | Address 2 | | Postal code * | |
| 26, GALLE ROAD | | | COLOMBO 06 | | 2828 | |
| | | | | | | |
| | | | | | | _ |
| Make this Director as a Secre | tarv | | | | | |
| make and photon as a source | ton y | Making a stakeho | older as | | | |
| | | Director cum Se | cretary) | | | |
| | | | | | | |
| | | | | | | |

Figure 1.35

Similarly a stakeholder can be created as Director, Secretary and Shareholder.

Note:

Minimum required number of natural persons for a company to be incorporated is displayed in the bottom of the stakeholder menu.

Once the stakeholders of the company are successfully created the applicant can click on the 'NEXT' option to move to the next menu. (**Note:** Until the minimum required stakeholders are created, the 'NEXT' button will not be enabled to proceed). Figure 1.36 depicts the position after successfully adding the stakeholders that are required for a PRIVATE LIMITED company.

| The Department of the Registrar of Companies Sri Lanka | e RoC | | | Dashboard | ⑦ Help | Hi Prabuddha | |
|---|-------------------------------------|------------|------------|---|-------------|---------------------------|-----------|
| | | (optional) | (optional) | | | | |
| | Successfully submitted stakeholders | | | | | | |
| | DIRECTORS | | +4DD NEW | | | | |
| | SHAMIL RANATUNGA- Director | | 1 | | | | |
| | | | | | | | |
| | SECRETARIES | | +ADO NEW | | | | |
| | SAMANI PERERA - Secretary | | | | | | |
| | | | | | | | |
| | SHAREHOLDERS | | + ADD NEW | | | | |
| | SAHANI PERERA Shareholder | | | | | | |
| | | | | | | | |
| fersion : F1.1.7.1 | | | | The Separtment of the Registrar of Comp | anies 2.RoC | Ø DRC - 2018 . All rights | reserved. |

Figure 1.36

The 'Download Documents' menu prompts the forms that are required to be downloaded by clicking the 'DOWNLOAD' option as depicted in figure 1.37.

| The Depart Registi | rar of Companies Sri Lanka | Dashboard ⑦ Help Hi Prabuddha |
|-----------------------|--|--|
| | DOCUMENT LIST | |
| | FORM 01 | 2 DOWNLOAD |
| | SHAMIL RANATUNGA - Director | |
| | CONSENT AND CERTIFICATE OF DIRECTOR - FORM 18 | |
| | SAHANI PERERA - Secretary | |
| | CONSENT AND CERTIFICATE OF SECRETARY - FORM 19 | DOWNLOAD |
| | | |
| Version : F1.1.7.1 | | Beginner of Companies Concentration Of DRC - 2018 . All rights reserved. |

Figure 1.37

The applicants will be directed to the 'UPLOAD DOCUMENTS' menu upon clicking the 'NEXT' option available in the bottom of the 'Download Documents' menu.

KPMG in Sri Lanka – Incorporation

Applicants are required to upload the required documents / forms in order to proceed to the next menu. The figure 1.38 depicts a successfully uploaded screen to proceed to the next menu. The applicants are provided with the 'View' and 'Delete' options to view and uploaded document and delete an uploaded document and re upload the correct document respectively.

| The Department of the Registrar of Companies Sri Lanka | Proces upload of their in gift formatuphicarily A4 Soci, portial amentation and the size A4 Test devoted by ⁴ must be uploaded. Preservences come page of ARTICLES OF THE ABJOCATION Cocurrent. | a should be less than 4.548. | Dashboard 🕐 Help | Hi Prabudiha |
|---|---|---------------------------------|------------------|-----------------------------------|
| | FORM 1 * Form 1 B Uploaded pages of the document (20) ARTICLES OF THE ASSOCIATION * Active of the Association Uploaded pages of the document (21) FORM 19 FOR SAHANI PERERA * B Uploaded pages of the document (21) | View an uploaded document | | |
| | FORM 18 FOR SHAMIL RANATUNGA * B Uploaded pages of the document : V1 | 4 PEVIDUS NOT 14 | | Delete an uploaded document |
| Werenet (F) 1.2.1 | | | Antonia Car | IS DEC-327.6. As Agree manifed. |

Figure 1.38

Upon clicking the 'NEXT' option, the applicant will be prompted the below alert depicted in figure 1.39 prior to proceeding to the next menu 'Inland Revenue'.

| ARE YOU SURE ? | |
|----------------------------------|--|
| You won't be able to revert this | |
| YES NO | |

Figure 1.40

Applicant has to click on the 'YES' option if all the details furnished in the application are correct, else will have to click on the 'NO' option to effect necessary corrections.

Upon clicking the 'YES' option, the applicants will be prompted the menu to enter details that are required by the Department of Inland Revenue. The menu followed by this is the location in the system where details pertaining to the labour department is captured when incorporating a company. These two menus are optional, thus, the applicants can skip these by clicking on the 'SKIP' option.



KPMG in Sri Lanka – Incorporation

Subsequently the applicant will be directed to the 'Payments' section where the eROC system shall display the total fee that is to be paid by the applicant to submit the 'INCORPORATION' application.

Upon clicking on the 'PAY' option, the system shall prompt an alert requesting the applicant's confirmation to pay for the incorporation as depicted in figure 1.41.

| PAYMENT CONFIRMATION | |
|---|--|
| Please confirm your payment by clicking below button. | |
| CONFIRM AND CONTINUE PAYMENT | |
| | |

Figure 1.41

Once the applicant clicks on the 'CONFIRM AND CONTINUE PAYMENT' option the system shall prompt the following menu options to the applicant to make the online payment.

| Refunds that will be made due to service in Convenience fee charged for the financial s All the payment related information will be o | selivered/cancelled, will be made to original credit/debit card or device. vices provided through Lanka Gate initiative is not refundable. ected and kept with the relevant banks while the rest of the user information that had been collected here is for the use of Li | anka Gate |
|---|--|-----------|
| He If you wish to use a Dobl Card, please m monoton | e sure that the Celut Card supports on-litie payments, and necessary configurations are done. You may contact the sisting t | ank To |
| 1 | Select PG | |
| | Setect PG Dialog é2Cash Giobal Payment Giobal Payment AMEX(NTB IPG) | |
| | Any VisarMaster Card(Sampath IPG) | |

| | Confirm | Payment Details | |
|-------------------|----------------|--------------------|--|
| Payment Gatewa | iy : | | |
| Description | | Amount (LKR) | |
| Amount to be pail | d : | 1.00 | |
| Convenience Fee | e1 | 0.02 | |
| Total Payment Ar | mount : | 1.02 | |
| | | | |
| | Car | ncel Pay Now | |
| | | | |
| | | Powered by ICTA | |
| | | | |
| | Name on Card | Name on Card | |
| | Card Number | Credit Card Number | |
| | cvv \varTheta | CVV | |
| | Expiry (MM/YY) | Expiry MM/YY | |
| | Amount(Rs) | 1.02 | |
| | Submit | | |
| | | | |

Upon successfully making the online payment the applicant will be prompted the following option to obtain a receipt for the payment made in lieu of the Incorporation application as depicted in figure 1.42.



Figure 1.42

The applicant will be directed to the dashboard upon clicking the 'Click, Go to Home' option.

12. Incorporation Re-Submission

The applicant will be notified in the dashboard on the respective incorporation application, if there are any shorting comings identified by the ROC officer as depicted in figure 1.43.



Figure 1.43

33

KPMG in Sri Lanka – Incorporation

Applicant has to click on the 'Incorporation Resubmission' option to proceed to effect necessary corrections / changes.

Upon clicking the 'Incorporation Resubmission' option, the application will be displayed together with the comments as depicted in figure 1.44.

| The Departr | nent of the ar of Companies Sri Lanka | 2Rot | 7 | | | Dashboard | ⑦ Help | | Hi Prabuddha | |
|--------------------|--|--------------|----------------------------|----------------------|------------------------------|---------------------------------|----------------------------------|-------------------|-----------------------------|-----------|
| | Company Details | Stakeholders | Download Documents | Upload Documents | Inland Revenue (optional) | Labour Department (optional) | Complete | | | |
| | | | Request to Resu | ibmit because of the | following reason | | | | | |
| | Name Approval Number | 1 | 812051137 | | | | Comments f displayed | rom ROC to the | | |
| | Comapany Type | Ρ | rivate Limited | | | | applica | ant | | |
| | Name In English | S | INGING FISH (PVT) LTD | | | | | | | |
| | Name In Sinhala | 8 | ෂ්ත්ගින්ග් fish (පිව්වි) එ | ්රීඩ් | | | | | | |
| | Name In Tamil | 6 | ிங்கிங் fish (பிவிடி) எ | रका <i>र्य रवे</i> | | | | | | |
| | Company Address | | | | | | | | | |
| Version : F1.1.7.1 | | | | | | | Registrar el Companies Seludo | 2 ROC | © DRC - 2018 . All rights r | reserved. |

Figure 1.44

Details submitted by the applicant in the initial incorporation application will be displayed and the applicant is provided with option to edit the Company Details and certain Stakeholder details (Only the details displayed in figure 1.45 are permitted for editing).

| The Department of the Registrar of (| he Companies Sri Lanka | | | Dashboard ③ H | elp Hi Prabuddha |
|---|------------------------------|-----------------------|-------------------|---------------|------------------|
| | Foreign Address | | Address Line 2 | | |
| | City | State/Region/Province | Postal/ZIP code | | |
| | Country * Sri Lanka | | | v | |
| | Residential Phone No. | Mobile * | Email Address * | | |
| | Date of Appointment* | 0777111111 | abc@kpmg.com | | |
| | 12/05/2018 | | SAMPLE SECRE TARY | C EDIT | |

Figure 1.45

KPMG in Sri Lanka – Incorporation

The applicants will be allowed to upload only the forms / documents requested by ROC to be **re-submitted** as depicted in figure 1.46.

| The Department of the Registrar of C | se companies Sri Lanka | Dashboard | (?) Help | Hi Prabuddha |
|--------------------------------------|---|-----------|--------------------------------|------------------------------------|
| | All files denoted by * must be uploaded. Please remove cover page of 'ARTICLES OF THE ASSOCIATION' Document. | | | |
| | FORM 1 * | APPROVED | | |
| | Uploaded pages of the document : 2/2 | 🛿 View | | |
| | ARTICLES OF THE ASSOCIATION * Articles of the Association | APPROVED | | |
| | Uploaded pages of the document : 1/4 | 2 View | | |
| | FORM 19 FOR SAHANI PERERA * | C UPLOAD | | |
| | Comments: Resubmit | | | |
| | | | | |
| | FORM 18 FOR SHAMIL RANATUNGA * | APPROVED | | |
| Version : F1.1.7.1 | I lalarded esses of the decument + 10 | De View | restructions rest Companies | © DRC - 2018. All rights reserved. |

Figure 1.46

The 'NEXT' option in the 'Upload Document' section will be enabled only if the applicant uploads the requested form / document to complete the Re-Submission of the Incorporation application.

Applicants will be prompted the menu depicted in figure 1.47 to complete the Incorporation Re-Submission once the applicant skips / completes Department of Inland Revenue and Labour Department related sections followed after uploading the requested documents.

| The Department of Registrar of | the Companies Sri Lanka | PROC Desired the Rection & Rector | | | | Dashboard | ⑦ Help | Hi P | rabuddha | |
|--------------------------------|--------------------------------------|--------------------------------------|--|----------------------------|---------------------------------|--------------------------|----------------------------|------|-------------------------|-----------|
| | Company Details | Stakeholders | INCORPORATION OF C Fill in all steps for completing Incorp Download Documents Upload Documents | DMPANY oration process. | Labour Department (optional) | Complete | | | | |
| | | | Request to Resubmit because of the | following reason | | | | | | |
| | | | ✓ COMPLETE AND RESU | BMIT | | ✓ PREVIOUS | | | | |
| | | | | | | | | | | |
| Version : F1.1.7.1 | | | | | | e bebererer Begistrar | ertos el Companies 2RoC | © DR | C - 2018 . All rights r | reserved. |

Figure 1.47

Applicant will have to click on the 'COMPLETE AND RESUBMIT' option to complete the Re-Submission of the Incorporation application.

Upon successful resubmission, the applicant will be directed to the dashboard and the status of the incorporation application will be displayed as 'In-Progress' as depicted in figure 1.48.

